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PTO/SB/05 (11)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	JPP-1260A-NP
First Inventor	ARUN PRASAD
Title	HIGH EXPANSION DENTAL ALLOYS
Express Mail Label No.	EK904747380US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
 - ☒ Applicant claims small entity status.
See 37 CFR 1.27.
 - ☒ Specification [Total Pages **10**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 - ☐ Drawing(s) (35 U.S.C. 113) [Total ☐
 - Oath or Declaration [Total Pages - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	JENERIC/PENTRON, INC.				
Address	53 NORTH PLAINS INDUSTRIAL ROAD				
City	WALLINGFORD	State	CT	Zip Code	06492
Country	U.S.A.	Telephone	(203) 265-7397 x508	Fax	(203) 265-7662

Name (Print/Type)	ANN M. KNAB	Registration No. (Attorney/Agent)	33,331
Signature		Date	8/10/01

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number
Filing Date 8/10/01
First Named Inventor ARUN PRASAD
Examiner Name
Group Art Unit
Attorney Docket No. JPP-1260A-NP

TOTAL AMOUNT OF PAYMENT \$395.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

50-0718

Deposit
Account
Name

JENERIC/PENTRON, INC.

- ☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Fee Paid

101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$355.00

2. EXTRA CLAIM FEES

Extra Claims		Fee from below	Fee Paid
Total Claims	15 - 20** = 0	X	0.00
Independent Claims	4 - 3** = 1	X	40.00
Multiple Dependent			

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Fee Paid

103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$40.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Paid

Fee Description		Fee Paid
105 130 205 65	Surcharge - late filing fee or oath	
127 50 227 25	Surcharge - late provisional filing fee or cover sheet	
139 130 139 130	Non - English specification	
147 2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920* 112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action	
115 110 215 55	Extension for reply within first month	
116 390 216 195	Extension for reply within second month	
117 890 217 445	Extension for reply within third month	
118 1,390 218 695	Extension for reply within fourth month	
128 1,890 228 945	Extension for reply within fifth month	
119 310 219 155	Notice of Appeal	
120 310 220 155	Filing a brief in support of an appeal	
121 270 221 135	Request for oral hearing	
138 1,510 138 1,510	Petition to institute a public use proceeding	
140 110 240 55	Petition to revive - unavoidable	
141 1,240 241 620	Petition to revive - unintentional	
142 1,240 242 620	Utility issue fee (or reissue)	
143 440 243 220	Design issue fee	
144 600 244 300	Plant issue fee	
122 130 122 130	Petitions to the Commissioner	
123 50 123 50	Processing fee under 37 CFR § 1.17(q)	
126 180 126 180	Submission of Information Disclosure Statement	
581 40 581 40	Recording each patent assignment per property (times number of properties)	
146 710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355	Request for Continued Examination (RCE)	
169 900 169 900	Request for expedited examination of a design application	
Other fee (specify)		

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

Name (Print/Type)

ANN M. KNAB

Registration No.
(Attorney/Agent)

33,331

Complete (if applicable)

Telephone

(203) 265-7397 x508

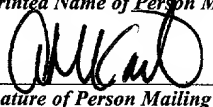
Signature

Date

8/10/01

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): ARUN PRASAD			Docket No. JPP-1260A-NP	
Serial No.	Filing Date 8/10/01	Examiner	Group Art Unit	
Invention: HIGH EXPANSION DENTAL ALLOYS				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"> FEE TRANSMITTAL; UTILITY PATENT TRANSMITTAL FORM; APPLICATION; TRANSMITTAL OF IDS; INFORMATION DISCLOSURE STATMENT; POST CARD </div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <div style="margin-left: 100px;"> <u>8/10/01</u> <i>(Date)</i> </div> <div style="margin-left: 400px; margin-top: 20px;"> <u>ANN M., KNAB</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>  <i>(Signature of Person Mailing Correspondence)</i> <u>EK904747380US</u> <i>("Express Mail" Mailing Label Number)</i> </div>				
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